

4-21-03



425-2828  
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Phoenix, Arizona

**Applicant:** Ralph Johnson      **Group:** 2828  
**Serial No.:** 10/026,016      **Examiner:** Tuan M. Nguyen  
**Filed:** December 20, 2001      **Atty Docket No.:** V637-02673 US  
**For:** VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING  
INDIUM, ANTIMONY AND NITROGEN IN THE ACTIVE  
REGION

**INFORMATION DISCLOSURE STATEMENT**

Box Patent Application  
Assistant Commissioner of Patents  
POB 1450  
Alexandria, VA 22313-1450

Dear Sir:

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (37 C.F.R. § 1.97(h)), or that no other material information exists.

The filing of this Information Disclosure Statement is not to be construed as a representation that the references are prior art within the meaning of 35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be construed as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relevance of any portion of any reference is intended.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Form PTO-1449, List of Prior Art Cited by Applicant, citing 81 U.S. patents and applications, 35 foreign patents and applications, and 59 publications.

04/21/2003 MBLANCO 00000013 011125 10026016

01 FC:1806 180.00 CH

PATENT  
Serial No. 10/026,016  
PAGE 1

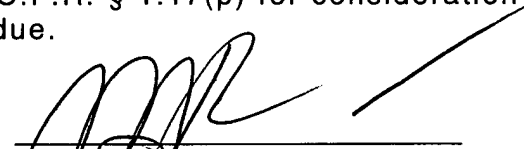
RECEIVED  
APR 22 2003  
TECHNOLOGY CENTER 2800

3. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in the attorney's file.

4. The Office is authorized to charge Applicant's Deposit Account 01-1125 \$180.00 or any fee that may be due under 37 C.F.R. § 1.17(p) for consideration of this IDS and for any other fee that may be due.

Telephone: 602.313.3345  
Facsimile: 602.313.4559

Honeywell International Inc. (Assignee)  
101 Columbia Road  
P.O. Box 2245  
Morristown, NJ 07962

By:   
Andrew A. Abeyta  
Reg. No. 39,582  
Attorney for Applicant

**CERTIFICATE OF MAILING**

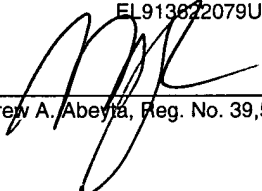
I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below addressed to the Box Patent Application, Assistant Commissioner of Patents, POB 1450, Alexandria, VA 22313-1450:

☐ 37 CFR 1.8(a)(1)(A)  
With the United States Postal Service  
with sufficient postage as first class  
mail in an envelope.

☐ 37 CFR 1.8(a)(1)(B)  
by facsimile transmission to facsimile  
number \_\_\_\_\_

☒ 37 CFR 1.10  
with the United States Postal Service  
"Express Mail Post Office to Addressee"  
service, Mailing Label No.  
EI 913822079US.

Dated: 4-16-03

  
Andrew A. Abeyta, Reg. No. 39,582